



Ground Floor, Coral House,
20 Peter Place,
Lyme Park, Sandton
PO Box 803,
Cramerview, 2060
Tel +27 (0) 11 463 0105
Fax +27 (0) 11 463 0249

Financial Services Provider (FSP) Information,
in terms of the General Code of Conduct for Authorised FSP's and Representatives,
in terms of the Financial Advisory and Intermediary Services Act, No. 37 of 2002.

Provider:

Sigma Risk Solutions (Pty) Ltd
Registration: M1993 / 004910 / 07
Financial Services Provider: 4348
Licence Categories: Short Term Insurance Personal Lines and Commercial Lines

Coral House, 20 Peter Place, Lyme Park, Sandton, 2191
P.O. Box 803, Cramerview, 2060

Telephone: +27 11 463 0105
Fax: +27 11 463 0249
Website: www.sigmarisk.co.za

Contact Persons for

General information and Complaints:

Andrew Wethmar +27 82 415 2172 andrew.wethmar@sigmarisk.co.za
Managing Director

Morne Steenkamp +27 72 672 6875 morne.steenkamp@sigmarisk.co.za
Director

External Compliance Practice:

Omega Compliance Solutions (Pty) Ltd
19 Kangnussie Road, Blairgowrie, Johannesburg

Telephone: +27 11 568 5454
e-Mail: bryan@omegacs.co.za

Authorised Advice & Intermediary Services:

Short-Term Insurance (Commercial & Personal Lines)

Financial Indemnities:

Sigma Risk Solutions holds Professional Indemnity cover with Hollard Insurance Company Limited
Sigma Risk Solutions holds Fidelity Guarantee Insurance Cover.
Sigma Risk Solutions holds Commercial Crime Insurance Cover.

Notes:

- (1) Sigma Risk Solutions is not an insurance company, nor an insurance broker.
- (2) Sigma Risk Solutions does not receive remuneration from any product providers in respect of any policies and

Directors: AJ Wethmar (Managing), M Steenkamp, J C M Wethmar (Non-executive)

Sigma Risk Solutions (Pty) Ltd Registration: M1993/004910/07 Authorised FSP License: 4348 FSP Representative: M Steenkamp

- no insurer provides more than 30% of Sigma Risk Solutions earnings.
- (3) Sigma Risk Solutions provides services of risk financing, claims management and captive management.
 - (4) Sigma Risk Solutions has a Conflict of Interest Policy, which is available on request and on the Sigma website.
 - (5) Sigma Risk Solutions confirms the fit and proper status of its representative and does not have any Representatives acting under supervision.
 - (6) Sigma has a complaints policy which is available on request
 - (7) Sigma has a section 51 manual in accordance with the Promotion of Access to Information Act (“PAIA”). The manual is available on Sigma’s website. Interested parties can request certain Company records as outlined in the manual
 - (8) Sigma Risk Solutions does not have ownership in excess of 10% of any insurer.
 - (9) Sigma Risk Solutions does not collect premiums.
 - (10) Sigma Risk Solutions has a complaints policy and procedures, which is available on request.
 - (11) Sigma Risk Solutions does not request that any person or policyholder complete any forms, and does not receive any information relating to policy cover, premiums or terms indicated to the insurer which could affect the policy

Product Supplier Information,

in terms of the General Code of Conduct for Authorised FSP’s and Representatives,
in terms of the Financial Advisory and Intermediary Services Act, No. 37 of 2002.

Some Useful Contact Details:

1. Insurance Ombudsman

Telephone: 0860 103 236 / 0860 726 890
 Fax: 086 589 0696
 e-Mail: info@insuranceombudsman.co.za
 Webpage: <https://www.insuranceombudsman.co.za/>

2. Financial Sector Conduct Authority (“FSCA”)

FSCA, Riverwalk Office Park, 41 Matroosberg Road, Ashlea Gardens, Menlo Park, Pretoria
 P.O. Box 35655, Menlo Park, 0102

Telephone: +27 12 428 8000 / Fraud and Ethics E-mail:
 Fax: +27 12 346 6941
 e-Mail: info@fsc.co.za e-Mail: fsca@behonest.co.za
 Webpage: www.fsc.co.za

3. SASRIA Limited

Reg No: 1979/00287/06

36 Fricker Road, Illovo, Sandton, 2196
 P.O. Box 653367, Benmore, 2010

Telephone: +27 11 214 0800 / 086 172 7742
 e-Mail: contactus@sasria.co.za / claims@sasria.co.za
 Webpage: www.sasria.co.za